

# 1 Single particle states

We work with parabolically confined dots affected by spin-orbit (SO) coupling and subjected to external radiation (and static magnetic) fields. Classically, the Lorentz force acting on a charged particle in a magnetic field is given by

$$m\ddot{\vec{x}} = e\vec{E} + \frac{e}{c}\vec{v} \times \vec{B}. \quad (1)$$

In order to account for this magnetic field in the Hamiltonian, a vector potential  $\vec{A}$  must be introduced. This potential is composed of the static part  $\vec{A}_B$  and the radiative part  $A_E$ , as

$$\vec{A} = \vec{A}_B + \vec{A}_E = \frac{B}{2}(-y, x, 0) - \frac{c\vec{E}}{\Omega} \sin \Omega t. \quad (2)$$

The magnetic and radiation fields are related to these vector potentials by

$$\vec{B} = \nabla \times \vec{A} = \nabla \times \vec{A}_B \quad (3)$$

$$\vec{E} \cos \Omega t = -\frac{1}{c} \frac{\partial \vec{A}}{\partial t} = -\frac{1}{c} \frac{\partial \vec{A}_E}{\partial t}. \quad (4)$$

The Lagrangian for (80) is given by

$$\mathcal{L}(\vec{x}, \dot{\vec{x}}) = \frac{1}{2}m\dot{\vec{x}}^2 + \frac{e}{c}\dot{\vec{x}} \cdot \vec{A}(\vec{x}). \quad (5)$$

From this the momentum canonically conjugate to  $x_j$  is found to be

$$p_j \equiv \frac{\partial \mathcal{L}}{\partial \dot{x}_j} = m\dot{x}_j + \frac{e}{c}A_j \quad (6)$$

and taking the time derivative gives

$$\frac{d}{dt} \left( \frac{\partial \mathcal{L}}{\partial \dot{x}_j} \right) = m\ddot{x}_j + \frac{e}{c} \frac{dA_j}{dt} = m\ddot{x}_j + \frac{e}{c} \sum_{k=1}^3 \dot{x}_k \frac{\partial A_j}{\partial x_k}. \quad (7)$$

In order to apply the Euler-Lagrange equation, it is also necessary to calculate

$$\frac{\partial \mathcal{L}}{\partial x_j} = \frac{e}{c} \sum_k x_k \frac{\partial A_k}{\partial x_j}. \quad (8)$$

Now using these equations in the Euler-Lagrange equation gives

$$\frac{d}{dt} \left( \frac{\partial \mathcal{L}}{\partial \dot{x}_j} \right) - \frac{\partial \mathcal{L}}{\partial x_j} = m\ddot{x}_j + \frac{e}{c} \sum_{k=1}^3 \dot{x}_k \frac{\partial A_j}{\partial x_k} - \frac{e}{c} \sum_{k=1}^3 x_k \frac{\partial A_k}{\partial x_j}, \quad (9)$$

which can be rearranged as

$$m\ddot{x}_j = \frac{e}{c} \sum_{k=1}^3 \dot{x}_k \left( \frac{\partial A_k}{\partial x_j} - \frac{\partial A_j}{\partial x_k} \right) = \frac{e}{c} \sum_{k=1}^3 \varepsilon_{jkl} \dot{x}_k B_l. \quad (10)$$

The Hamiltonian accounting for this magnetic field is now given by

$$\begin{aligned}
\mathcal{H}(\vec{x}, \vec{p}) &= \vec{x} \cdot \vec{p} - \mathcal{L} \\
&= \vec{x} \cdot \left( \frac{e}{c} \vec{A} + m \vec{x} \right) - \frac{1}{2} m \dot{x}^2 - \frac{e}{c} \dot{x} \cdot \vec{A} \\
&= \frac{1}{2} m \dot{x}^2 = \frac{1}{2m} \left( \vec{p} - \frac{e}{c} \vec{A} \right)^2
\end{aligned}$$

The total single particle Hamiltonian is then

$$H_{\text{SP}} = \frac{1}{2m} \left( \vec{p} - \frac{e}{c} \vec{A} \right)^2 + \frac{1}{2} m \omega_0^2 r^2 + \frac{\alpha}{\hbar} \left[ \vec{\sigma} \times \left( \vec{p} - \frac{e}{c} \vec{A} \right) \right]_z + \frac{1}{2} g \mu_B B \sigma_z. \quad (11)$$

Here  $\vec{\sigma}$  is the vector of Pauli matrices, i.e.

$$\vec{\sigma} = \sigma_x \vec{i} + \sigma_y \vec{j} + \sigma_z \vec{k} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \vec{i} + \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \vec{j} + \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \vec{k}, \quad (12)$$

Furthermore, in the Hamiltonian (89) the parameter  $m$  is the effective mass of the particle,  $\omega_0$  the angular frequency of the parabolic confinement,  $\alpha$  the strength of the Rashba SO coupling,  $e$  the charge of the particle (so, for electrons  $e = -|e|$ ) and  $g$  the effective Lande gyromagnetic ratio.

We now separate in(89) the radiative terms from the static ones. For that purpose we introduce the kinematic momentum

$$\vec{\Pi} = \vec{p} - \frac{e}{c} \vec{A}_B, \quad (13)$$

which allows us to write

$$\begin{aligned}
H_{\text{SP}} &= \frac{1}{2m} \left( \vec{\Pi} - \frac{e}{c} \vec{A}_E \right)^2 + \frac{1}{2} m \omega_0^2 r^2 + \frac{\alpha}{\hbar} \left[ \vec{\sigma} \times \left( \vec{\Pi} - \frac{e}{c} \vec{A}_E \right) \right]_z + \frac{1}{2} g \mu_B B \sigma_z \\
&= \frac{1}{2m} \Pi^2 + \frac{1}{2} m \omega_0^2 r^2 - + \frac{\alpha}{\hbar} \left[ \vec{\sigma} \times \vec{\Pi} \right]_z + \frac{1}{2} g \mu_B B \sigma_z \\
&\quad - \frac{e}{mc} \vec{A}_E \cdot \vec{\Pi} + \frac{e^2}{2mc^2} A_E^2 - \frac{\alpha e}{\hbar c} \left[ \vec{\sigma} \times \vec{A}_E \right]_z \\
&= H_S + H_R.
\end{aligned}$$

Here  $H_S$  is the static Hamiltonian

$$H_S = \frac{1}{2m} \Pi^2 + \frac{1}{2} m \omega_0^2 r^2 + \frac{\alpha}{\hbar} \left[ \vec{\sigma} \times \vec{\Pi} \right]_z + \frac{1}{2} g \mu_B B \sigma_z \quad (14)$$

and  $H_R$  the radiative Hamiltonian

$$H_R = -\frac{e}{mc} \vec{A}_E \cdot \vec{\Pi} + \frac{e^2}{2mc^2} A_E^2 - \frac{\alpha e}{\hbar c} \left[ \vec{\sigma} \times \vec{A}_E \right]_z. \quad (15)$$

## 1.1 Static Hamiltonian without SO coupling

We now solve the static single particle problem in the case where the SO coupling vanishes. Our Hamiltonian is then simply

$$H_S^0 = \frac{1}{2m}\Pi^2 + \frac{1}{2}m\omega_0^2 r^2 + \frac{1}{2}g\mu_B B\sigma_z. \quad (16)$$

Recalling that the vector potential was

$$\vec{A}_B = \frac{B}{2}(-y, x, 0)$$

the operator  $\Pi^2$  can be written as

$$\begin{aligned} \Pi^2 &= \left(\vec{p} - \frac{e}{c}\vec{A}_B\right)^2 = p^2 - \frac{2e}{c}\vec{A}_B \cdot \vec{p} + \frac{e^2}{c^2}A_B^2 \\ &= -\hbar^2 \left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2}\right) + i\frac{2e\hbar}{c} \frac{B}{2} \left(-y \frac{\partial}{\partial x} + x \frac{\partial}{\partial y}\right) + \frac{e^2}{c^2} \frac{B^2}{4}(y^2 + x^2). \end{aligned}$$

We transform to polar coordinates

$$\begin{aligned} x &= r \cos \theta \\ y &= r \sin \theta \end{aligned}$$

using the relations

$$\begin{aligned} \frac{\partial}{\partial r} &= \frac{\partial x}{\partial r} \frac{\partial}{\partial x} + \frac{\partial y}{\partial r} \frac{\partial}{\partial y} = \cos \theta \frac{\partial}{\partial x} + \sin \theta \frac{\partial}{\partial y} \\ \frac{\partial}{\partial \theta} &= \frac{\partial x}{\partial \theta} \frac{\partial}{\partial x} + \frac{\partial y}{\partial \theta} \frac{\partial}{\partial y} = -r \sin \theta \frac{\partial}{\partial x} + r \cos \theta \frac{\partial}{\partial y}, \end{aligned}$$

or inversely

$$\frac{\partial}{\partial x} = \cos \theta \frac{\partial}{\partial r} - \frac{\sin \theta}{r} \frac{\partial}{\partial \theta} \quad (17)$$

$$\frac{\partial}{\partial y} = \sin \theta \frac{\partial}{\partial r} + \frac{\cos \theta}{r} \frac{\partial}{\partial \theta}, \quad (18)$$

which yield

$$\begin{aligned} \frac{\partial^2}{\partial x^2} &= \left(\cos \theta \frac{\partial}{\partial r} - \frac{\sin \theta}{r} \frac{\partial}{\partial \theta}\right) \left(\cos \theta \frac{\partial}{\partial r} - \frac{\sin \theta}{r} \frac{\partial}{\partial \theta}\right) \\ &= \cos^2 \theta \frac{\partial^2}{\partial r^2} + \frac{\cos \theta \sin \theta}{r^2} \frac{\partial}{\partial \theta} - \frac{\cos \theta \sin \theta}{r} \frac{\partial^2}{\partial r \partial \theta} \\ &\quad + \frac{\sin^2 \theta}{r} \frac{\partial}{\partial r} - \frac{\sin \theta \cos \theta}{r} \frac{\partial^2}{\partial \theta \partial r} + \frac{\sin \theta \cos \theta}{r^2} \frac{\partial}{\partial \theta} + \frac{\sin^2 \theta}{r^2} \frac{\partial^2}{\partial \theta^2} \\ &= \cos^2 \theta \frac{\partial^2}{\partial r^2} - 2 \frac{\cos \theta \sin \theta}{r} \frac{\partial^2}{\partial r \partial \theta} + \frac{\sin^2 \theta}{r^2} \frac{\partial^2}{\partial \theta^2} \\ &\quad + \frac{\sin^2 \theta}{r} \frac{\partial}{\partial r} + 2 \frac{\sin \theta \cos \theta}{r^2} \frac{\partial}{\partial \theta} \end{aligned}$$

and

$$\begin{aligned}
\frac{\partial^2}{\partial y^2} &= \left( \sin \theta \frac{\partial}{\partial r} + \frac{\cos \theta}{r} \frac{\partial}{\partial \theta} \right) \left( \sin \theta \frac{\partial}{\partial r} + \frac{\cos \theta}{r} \frac{\partial}{\partial \theta} \right) \\
&= \sin^2 \theta \frac{\partial^2}{\partial r^2} - \frac{\sin \theta \cos \theta}{r^2} \frac{\partial}{\partial \theta} + \frac{\sin \theta \cos \theta}{r} \frac{\partial^2}{\partial r \partial \theta} \\
&\quad + \frac{\cos^2 \theta}{r} \frac{\partial}{\partial r} + \frac{\cos \theta \sin \theta}{r} \frac{\partial^2}{\partial \theta \partial r} - \frac{\cos \theta \sin \theta}{r^2} \frac{\partial}{\partial \theta} + \frac{\cos^2 \theta}{r^2} \frac{\partial^2}{\partial \theta^2} \\
&= \sin^2 \theta \frac{\partial^2}{\partial r^2} + 2 \frac{\cos \theta \sin \theta}{r} \frac{\partial^2}{\partial r \partial \theta} + \frac{\cos^2 \theta}{r^2} \frac{\partial^2}{\partial \theta^2} \\
&\quad + \frac{\cos^2 \theta}{r} \frac{\partial}{\partial r} - 2 \frac{\sin \theta \cos \theta}{r^2} \frac{\partial}{\partial \theta}
\end{aligned}$$

Thus in polar coordinates the  $\nabla^2$  operator takes the form

$$\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} = \frac{\partial^2}{\partial r^2} + \frac{1}{r} \frac{\partial}{\partial r} + \frac{1}{r^2} \frac{\partial^2}{\partial \theta^2}.$$

We also need to evaluate the term

$$\begin{aligned}
-y \frac{\partial}{\partial x} + x \frac{\partial}{\partial y} &= -r \sin \theta \left( \cos \theta \frac{\partial}{\partial r} - \frac{\sin \theta}{r} \frac{\partial}{\partial \theta} \right) \\
&\quad + r \cos \theta \left( \sin \theta \frac{\partial}{\partial r} + \frac{\cos \theta}{r} \frac{\partial}{\partial \theta} \right) \\
&= -r \sin \theta \cos \theta \frac{\partial}{\partial r} + \sin^2 \theta \frac{\partial}{\partial \theta} \\
&\quad + r \cos \theta \sin \theta \frac{\partial}{\partial r} + \cos^2 \theta \frac{\partial}{\partial \theta} \\
&= \frac{\partial}{\partial \theta}.
\end{aligned}$$

The term  $\Pi^2$  can now be written in polar coordinates as

$$\Pi^2 = -\hbar^2 \left( \frac{\partial^2}{\partial r^2} + \frac{1}{r} \frac{\partial}{\partial r} + \frac{1}{r^2} \frac{\partial^2}{\partial \theta^2} \right) + i \frac{eB\hbar}{c} \frac{\partial}{\partial \theta} + \frac{e^2 B^2}{4c^2} r^2.$$

The static Hamiltonian (94) is now

$$\begin{aligned}
H_S^0 &= -\frac{\hbar^2}{2m} \left( \frac{\partial^2}{\partial r^2} + \frac{1}{r} \frac{\partial}{\partial r} + \frac{1}{r^2} \frac{\partial^2}{\partial \theta^2} \right) + i \frac{eB\hbar}{2mc} \frac{\partial}{\partial \theta} + \frac{e^2 B^2}{8mc^2} r^2 + \frac{1}{2} m \omega_0^2 r^2 \\
&\quad + \frac{1}{2} g \mu_B B \sigma_z.
\end{aligned}$$

We define the cyclotron frequency  $\omega_c$  as

$$\omega_c = \frac{eB}{mc} \tag{19}$$

and substitute it to the Hamiltonian to get

$$\begin{aligned}
H_S^0 &= -\frac{\hbar^2}{2m} \left( \frac{\partial^2}{\partial r^2} + \frac{1}{r} \frac{\partial}{\partial r} + \frac{1}{r^2} \frac{\partial^2}{\partial \theta^2} \right) + i \frac{\hbar \omega_c}{2} \frac{\partial}{\partial \theta} + \frac{m \omega_c^2}{8} r^2 + \frac{1}{2} m \omega_0^2 r^2 \\
&\quad + \frac{1}{2} g \mu_B B \sigma_z \\
&= -\frac{\hbar^2}{2m} \left( \frac{\partial^2}{\partial r^2} + \frac{1}{r} \frac{\partial}{\partial r} + \frac{1}{r^2} \frac{\partial^2}{\partial \theta^2} \right) + \frac{i}{2} \hbar \omega_c \frac{\partial}{\partial \theta} + \frac{1}{2} m \left( \frac{\omega_c^2}{4} + \omega_0^2 \right) r^2 \\
&\quad + \frac{1}{2} g \mu_B B \sigma_z
\end{aligned}$$

Defining the effective frequency  $\omega$  as

$$\omega^2 = \omega_0^2 + \frac{1}{4} \omega_c^2 \quad (20)$$

we end up with

$$H_S^0 = -\frac{\hbar^2}{2m} \left( \frac{\partial^2}{\partial r^2} + \frac{1}{r} \frac{\partial}{\partial r} + \frac{1}{r^2} \frac{\partial^2}{\partial \theta^2} \right) + \frac{i}{2} \hbar \omega_c \frac{\partial}{\partial \theta} + \frac{1}{2} m \omega^2 r^2 + \frac{1}{2} g \mu_B B \sigma_z.$$

If we now change to variable

$$s = \frac{r}{a}$$

we get

$$H_S^0 = -\frac{\hbar^2}{2ma^2} \left( \frac{\partial^2}{\partial s^2} + \frac{1}{s} \frac{\partial}{\partial s} + \frac{1}{s^2} \frac{\partial^2}{\partial \theta^2} \right) + \frac{i}{2} \hbar \omega_c \frac{\partial}{\partial \theta} + \frac{1}{2} m \omega^2 a^2 s^2 + \frac{1}{2} g \mu_B B \sigma_z.$$

Requiring that

$$\frac{\hbar^2}{2ma^2} = \frac{1}{2} m \omega^2 a^2,$$

or

$$a^2 = \frac{\hbar}{m\omega} \quad (21)$$

we get

$$H_S^0 = -\frac{1}{2} \hbar \omega \left( \frac{\partial^2}{\partial s^2} + \frac{1}{s} \frac{\partial}{\partial s} - s^2 \right) - \frac{\hbar \omega}{2s^2} \frac{\partial^2}{\partial \theta^2} + \frac{i}{2} \hbar \omega_c \frac{\partial}{\partial \theta} + \frac{1}{2} g \mu_B B \sigma_z. \quad (22)$$

Because the system is cylindrically symmetric we can write the eigenfunctions  $\psi$  of  $H_S^0$  in the form

$$\psi(s, \theta) = \varphi(s) e^{im\theta}, \quad (23)$$

where  $m$  can take only integer values. Substituting this into the Schrödinger equation

$$H_S^0 \psi = \varepsilon \psi, \quad (24)$$

we have

$$-\varphi'' - \frac{1}{s}\varphi' + s^2\varphi + \frac{m^2}{s^2}\varphi - m\frac{\omega_c}{\omega}\varphi \pm \frac{g\mu_B B}{\hbar\omega}\varphi = \frac{2\varepsilon}{\hbar\omega}\varphi,$$

where the sign of the factor  $\pm\frac{1}{2}$  in the Zeeman term is to be chosen according to the spin.

Once again we change to a new variable  $z = s^2$ . Using the notation

$$\phi(z) = \varphi(s)$$

we have

$$\varphi'(s) = \phi'(z)z' = 2s\phi'(z) = 2\sqrt{z}\phi'(z)$$

and

$$\varphi''(s) = 2(\sqrt{z}\phi'(z))'z' = 2\left(\frac{1}{2\sqrt{z}}\phi'(z) + \sqrt{z}\phi''(z)\right)2\sqrt{z} = 2\phi'(z) + 4z\phi''(z).$$

The Schrödinger equation reads now

$$-4z\phi'' - 2\phi' - \frac{1}{\sqrt{z}}2\sqrt{z}\phi' + z\phi + \frac{m^2}{z}\phi - m\frac{\omega_c}{\omega}\phi \pm \frac{g\mu_B B}{\hbar\omega}\phi = \frac{2\varepsilon}{\hbar\omega}\phi,$$

or

$$z\phi'' + \phi' + \left(\nu - \frac{m^2}{4z} - \frac{z}{4} + \frac{m\kappa}{2} \mp \gamma\right)\phi = 0, \quad (25)$$

where we have set

$$\nu = \frac{\varepsilon}{2\hbar\omega} \quad (26)$$

$$\kappa = \frac{\omega_c}{2\omega} \quad (27)$$

$$\gamma = \frac{g\mu_B B}{4\hbar\omega}. \quad (28)$$

The signs in front of  $\gamma$  correspond to spin up and down states, respectively.

To solve the differential equation we substitute

$$\phi = e^{-\alpha z} z^\beta \xi(z)$$

for  $\phi$ . We evaluate the derivatives of  $\phi$  as

$$\phi' = (-\alpha z^\beta \xi + \beta z^{\beta-1} \xi + z^\beta \xi') e^{-\alpha z} = e^{-\alpha z} z^{\beta-1} [z\xi' + (-\alpha z + \beta)\xi]$$

and

$$\begin{aligned} \phi'' &= (-\alpha\beta z^{\beta-1}\xi - \alpha z^\beta \xi' + \beta(\beta-1)z^{\beta-2}\xi + \beta z^{\beta-1}\xi' + \beta z^{\beta-1}\xi' + z^\beta \xi'') e^{-\alpha z} \\ &+ (\alpha^2 z^\beta \xi - \alpha\beta z^{\beta-1}\xi - \alpha z^\beta \xi') e^{-\alpha z} = \\ &= e^{-\alpha z} [z^\beta \xi'' + (-2\alpha z^\beta + 2\beta z^{\beta-1}) \xi' \\ &+ (-2\alpha\beta z^{\beta-1} + \beta(\beta-1)z^{\beta-2} + \alpha^2 z^\beta) \xi] \\ &= e^{-\alpha z} z^{\beta-2} [z^2 \xi'' + 2(-\alpha z^2 + \beta z) \xi' + (-2\alpha\beta z + \beta(\beta-1) + \alpha^2 z^2) \xi] \end{aligned}$$

The first two terms in the differential eq. (103) are now

$$\begin{aligned} z\phi'' + \phi' &= \\ e^{-\alpha z} z^{\beta-1} &[z^2\xi'' + (-2\alpha z^2 + (2\beta+1)z)\xi' + ((-2\alpha\beta - \alpha)z + \beta^2 + \alpha^2 z^2)\xi] \\ &= e^{-\alpha z} z^\beta \left[ z\xi'' + (-2\alpha z + 2\beta + 1)\xi' + \left( \alpha^2 z - 2\alpha\beta - \alpha + \frac{\beta^2}{z} \right) \xi \right] \end{aligned}$$

and the differential equation itself reads

$$\begin{aligned} z\xi'' + (-2\alpha z + 2\beta + 1)\xi' + \left( \alpha^2 z - 2\alpha\beta - \alpha + \frac{\beta^2}{z} \right) \xi \\ + \left( \nu - \frac{m^2}{4z} - \frac{z}{4} + \frac{m\kappa}{2} \mp \gamma \right) \xi &= \\ z\xi'' + (-2\alpha z + 2\beta + 1)\xi' \\ + \left( \nu + \frac{m\kappa}{2} \mp \gamma - 2\alpha\beta - \alpha + \left( \alpha^2 - \frac{1}{4} \right) z + \frac{\beta^2 - \frac{m^2}{4}}{z} \right) \xi &= 0. \end{aligned}$$

When we now set

$$\begin{aligned} \alpha &= \frac{1}{2} \\ \beta &= \left| \frac{m}{2} \right| \end{aligned}$$

we end up with

$$z\xi'' + (|m| + 1 - z)\xi' + \left( \nu + \frac{m\kappa}{2} \mp \gamma - \frac{|m|}{2} - \frac{1}{2} \right) \xi = 0.$$

This is of the form of the Laguerre differential equation

$$zy'' + (q + 1 - z)y' + ny = 0$$

which has a regular solution

$$y = L_n^q(z)$$

provided that  $n$  is a nonnegative integer. In our case this means that we have to have

$$\nu = n - \frac{m\kappa}{2} + \frac{|m| + 1}{2} \pm \gamma; \quad n = 0, 1, 2, \dots$$

and

$$\xi(z) = L_n^{|m|}(z).$$

Recalling that  $\xi(z)$  was defined as

$$\phi(z) = e^{-z/2} z^{|m|/2} \xi(z)$$

in terms of the wavefunction  $\phi$  in the Schrödinger equation (103) and  $\phi$  was related to  $\varphi$  via

$$\varphi(s) = \phi(s^2) = e^{-s^2/2} s^{|m|} L_n^{|m|}(s^2),$$

which in turn was the radial part of the total wavefunction

$$\psi(s, \theta) = \varphi(s)e^{im\theta},$$

we can write the eigenfunctions of the original static Hamiltonian  $H_S^0$  (94) in terms of original dimensional units  $r = as$  like

$$\psi(\vec{r}) = e^{-r^2/2a^2} \left(\frac{r}{a}\right)^{|m|} L_n^{|m|} \left(\frac{r^2}{a^2}\right) e^{im\theta}.$$

We now normalize this by evaluating

$$\begin{aligned} \int_0^{2\pi} d\theta \int_0^\infty r dr |\psi(\vec{r})|^2 &= 2\pi \int_0^\infty r dr e^{-r^2/a^2} \left(\frac{r}{a}\right)^{2|m|} \left[ L_n^{|m|} \left(\frac{r^2}{a^2}\right) \right]^2 \\ &= 2\pi a^2 \int_0^\infty s ds e^{-s^2} s^{2|m|} \left[ L_n^{|m|}(s^2) \right]^2 \\ &= \pi a^2 \int_0^\infty dz e^{-z} z^{|m|} \left[ L_n^{|m|}(z) \right]^2 \\ &= \pi a^2 \frac{(n+|m|)!}{n!} \end{aligned}$$

which allows us to write the normalized wavefunctions as

$$\begin{aligned} \psi_{nm}(\vec{r}) &= \frac{1}{\sqrt{\pi}a} \sqrt{\frac{n!}{(n+|m|)!}} e^{-r^2/2a^2} \left(\frac{r}{a}\right)^{|m|} L_n^{|m|} \left(\frac{r^2}{a^2}\right) e^{im\theta} \\ &= \frac{1}{\sqrt{\pi}a} \sqrt{\frac{n!}{(n+|m|)!}} e^{-s^2/2} s^{|m|} L_n^{|m|}(s^2) e^{im\theta} \\ &= \frac{1}{\sqrt{\pi}a} \sqrt{\frac{n!}{(n+|m|)!}} e^{-z/2} z^{|m|/2} L_n^{|m|}(z) e^{im\theta}. \end{aligned}$$

Collecting everything together we have seen that the eigenfunctions and eigenvalues of the static Hamiltonian (94)

$$H_S^0 = \frac{1}{2m} \Pi^2 + \frac{1}{2} m \omega_0^2 r^2 + \frac{1}{2} g \mu_B B \sigma_z$$

are

$$\psi_{nm}(r, \theta) = g_{nm} \left(\frac{r^2}{a^2}\right) e^{im\theta} \quad (29)$$

$$g_{nm}(z) = \frac{1}{\sqrt{\pi}a} \sqrt{\frac{n!}{(n+|m|)!}} e^{-z/2} z^{|m|/2} L_n^{|m|}(z) \quad (30)$$

$$\varepsilon = 2\hbar\omega\nu \quad (31)$$

$$\nu = n - \frac{1}{2} m\kappa + \frac{1}{2} (|m| + 1) \pm \gamma; \quad n = 0, 1, 2, \dots \quad (32)$$



$$a^2 = \frac{\hbar}{m\omega} \quad (33)$$

$$\kappa = \frac{\omega_c}{2\omega} \quad (34)$$

$$\gamma = \frac{g\mu_B B}{4\hbar\omega} \quad (35)$$

$$\omega^2 = \omega_0^2 + \frac{1}{4}\omega_c^2 \quad (36)$$

$$\omega_c = \frac{eB}{mc}. \quad (37)$$

## 1.2 Rashba SO coupling

We will now concentrate on the term

$$H_{\text{SO}} = \frac{\alpha}{\hbar} \left[ \vec{\sigma} \times \left( \vec{p} - \frac{e}{c} \vec{A} \right) \right]_z = \frac{\alpha}{\hbar} \left[ \vec{\sigma} \times \vec{\Pi} \right]_z \quad (38)$$

in the complete single particle Hamiltonian  $H_{\text{SP}}$  (89). We rewrite this componentwise as

$$\begin{aligned} H_{\text{SO}} &= \frac{\alpha}{\hbar} \left[ \vec{\sigma} \times \vec{\Pi} \right]_z = \frac{\alpha}{\hbar} [\sigma_x \Pi_y - \sigma_y \Pi_x] \\ &= \frac{\alpha}{\hbar} \left[ \begin{pmatrix} 0 & \Pi_y \\ \Pi_y & 0 \end{pmatrix} - \begin{pmatrix} 0 & -i\Pi_x \\ i\Pi_x & 0 \end{pmatrix} \right] \\ &= \frac{\alpha}{\hbar} \begin{pmatrix} 0 & \Pi_y + i\Pi_x \\ \Pi_y - i\Pi_x & 0 \end{pmatrix} = i \frac{\alpha}{\hbar} \begin{pmatrix} 0 & \Pi_x - i\Pi_y \\ -\Pi_x - i\Pi_y & 0 \end{pmatrix}. \end{aligned}$$

Defining the ladder operators  $\Pi_{\pm}$  as

$$\Pi_{\pm} = \Pi_x \pm i\Pi_y \quad (39)$$

we get

$$H_{\text{SO}} = i \frac{\alpha}{\hbar} \begin{pmatrix} 0 & \Pi_- \\ -\Pi_+ & 0 \end{pmatrix}. \quad (40)$$

This is clearly Hermitian, since  $\Pi_{\pm}^{\dagger} = \Pi_{\mp}$  so that

$$H_{\text{SO}}^{\dagger} = -i \frac{\alpha}{\hbar} \begin{pmatrix} 0 & -\Pi_+^{\dagger} \\ \Pi_-^{\dagger} & 0 \end{pmatrix} = -i \frac{\alpha}{\hbar} \begin{pmatrix} 0 & -\Pi_- \\ \Pi_+ & 0 \end{pmatrix} = i \frac{\alpha}{\hbar} \begin{pmatrix} 0 & \Pi_- \\ -\Pi_+ & 0 \end{pmatrix}.$$

We now have a closer look at the ladder operators. Using the explicit expression

$$\vec{\Pi} = \vec{p} - \frac{e}{c} \vec{A} = \vec{p} - \frac{eB}{2c} (-y, x, 0)$$

yields

$$\begin{aligned} \Pi_+ &= p_x + \frac{eB}{2c} y + ip_y - i \frac{eB}{2c} x = p_x + ip_y - \frac{eB}{2c} (ix - y) \\ \Pi_- &= p_x + \frac{eB}{2c} y - ip_y + i \frac{eB}{2c} x = p_x - ip_y + \frac{eB}{2c} (ix + y). \end{aligned}$$

Further, in the coordinate representation we can write

$$p_x \pm ip_y = -i\hbar \left( \frac{\partial}{\partial x} \pm i \frac{\partial}{\partial y} \right).$$

Using the explicit expressions (95-96)

$$\begin{aligned} \frac{\partial}{\partial x} &= \cos \theta \frac{\partial}{\partial r} - \frac{\sin \theta}{r} \frac{\partial}{\partial \theta} \\ \frac{\partial}{\partial y} &= \sin \theta \frac{\partial}{\partial r} + \frac{\cos \theta}{r} \frac{\partial}{\partial \theta}, \end{aligned}$$

we have

$$\begin{aligned} p_x \pm ip_y &= -i\hbar \left( \cos \theta \frac{\partial}{\partial r} - \frac{\sin \theta}{r} \frac{\partial}{\partial \theta} \pm i \sin \theta \frac{\partial}{\partial r} \pm i \frac{\cos \theta}{r} \frac{\partial}{\partial \theta} \right) \\ &= -i\hbar \left( (\cos \theta \pm i \sin \theta) \frac{\partial}{\partial r} + \frac{\pm i \cos \theta - \sin \theta}{r} \frac{\partial}{\partial \theta} \right) \\ &= -\hbar \left( i(\cos \theta \pm i \sin \theta) \frac{\partial}{\partial r} + \frac{\mp \cos \theta - i \sin \theta}{r} \frac{\partial}{\partial \theta} \right) \\ &= -\hbar \left( i(\cos \theta \pm i \sin \theta) \frac{\partial}{\partial r} \mp \frac{\cos \theta \pm i \sin \theta}{r} \frac{\partial}{\partial \theta} \right) \\ &= -\hbar e^{\pm i\theta} \left( i \frac{\partial}{\partial r} \mp \frac{1}{r} \frac{\partial}{\partial \theta} \right) \\ &= -i\hbar e^{\pm i\theta} \left( \frac{\partial}{\partial r} \pm i \frac{1}{r} \frac{\partial}{\partial \theta} \right). \end{aligned}$$

The vector potential part  $ix \mp y$  takes the form

$$ix \mp y = i(x \pm iy) = ire^{\pm i\theta}$$

which allows us to write

$$\begin{aligned} \Pi_{\pm} &= p_x \pm ip_y \mp \frac{eB}{2c}(ix \mp y) \\ &= -i\hbar e^{\pm i\theta} \left( \frac{\partial}{\partial r} \pm i \frac{1}{r} \frac{\partial}{\partial \theta} \right) \mp i \frac{eB}{2c} re^{\pm i\theta} \\ &= -i\hbar e^{\pm i\theta} \left( \frac{\partial}{\partial r} \pm i \frac{1}{r} \frac{\partial}{\partial \theta} \pm \frac{eB}{2\hbar c} r \right) \end{aligned}$$

Recalling that the cyclotron frequency was defined (97) as  $\omega_c = eB/mc$  we finally get

$$\Pi_{\pm} = -i\hbar e^{\pm i\theta} \left( \frac{\partial}{\partial r} \pm i \frac{1}{r} \frac{\partial}{\partial \theta} \pm \frac{m\omega_c}{2\hbar} r \right) \quad (41)$$

We now transfer first to the dimensionless length

$$s = \frac{r}{a} \quad (42)$$

as

$$\begin{aligned}
\Pi_{\pm} &= -i\frac{\hbar}{a}e^{\pm i\theta} \left( \frac{\partial}{\partial s} \pm i\frac{1}{s} \frac{\partial}{\partial \theta} \pm \frac{ma^2\omega_c}{2\hbar} s \right) \\
&= -i\frac{\hbar}{a}e^{\pm i\theta} \left( \frac{\partial}{\partial s} \pm i\frac{1}{s} \frac{\partial}{\partial \theta} \pm \frac{\hbar}{m\omega} \frac{m\omega_c}{2\hbar} s \right) \\
&= -i\frac{\hbar}{a}e^{\pm i\theta} \left( \frac{\partial}{\partial s} \pm i\frac{1}{s} \frac{\partial}{\partial \theta} \pm \kappa s \right),
\end{aligned}$$

where we have substituted  $a^2 = \hbar/m\omega$  (99) and  $\kappa = \omega_c/2\omega$ . Next we replace  $s$  with

$$z = s^2 \quad (43)$$

and correspondingly  $\partial/\partial s$  with

$$\frac{\partial}{\partial s} = \frac{\partial z}{\partial s} \frac{\partial}{\partial z} = 2s \frac{\partial}{\partial z} = 2\sqrt{z} \frac{\partial}{\partial z}, \quad (44)$$

which yields

$$\Pi_{\pm} = -i\frac{\hbar}{a}e^{\pm i\theta} \left( 2\sqrt{z} \frac{\partial}{\partial z} \pm i\frac{1}{\sqrt{z}} \frac{\partial}{\partial \theta} \pm \kappa\sqrt{z} \right),$$

or

$$\Pi_{\pm} = -i\frac{\hbar}{a}e^{\pm i\theta} \sqrt{z} \left( 2 \frac{\partial}{\partial z} \pm i\frac{1}{z} \frac{\partial}{\partial \theta} \pm \kappa \right). \quad (45)$$

The next task is to find out the effects of the ladder operators  $\Pi_{\pm}$  on the wave functions (107)

$$\psi_{nm}(r, \theta) = g_{nm} \left( \frac{r^2}{a^2} \right) e^{im\theta}.$$

This is evaluated as

$$\begin{aligned}
\Pi_{\pm} \psi_{nm}(r, \theta) &= -i\frac{\hbar}{a}e^{\pm i\theta} \sqrt{z} \left( 2 \frac{\partial}{\partial z} \pm i\frac{1}{z} \frac{\partial}{\partial \theta} \pm \kappa \right) [g_{nm}(z)e^{im\theta}] \\
&= -i\frac{\hbar}{a}e^{i(m\pm 1)\theta} \sqrt{z} \left( 2 \frac{\partial}{\partial z} \mp \frac{m}{z} \pm \kappa \right) g_{nm}(z).
\end{aligned}$$

Let's write  $g_{nm}$  (108)

$$g_{nm}(z) = \frac{1}{\sqrt{\pi}a} \sqrt{\frac{n!}{(n+|m|)!}} e^{-z/2} z^{|m|/2} L_n^{|m|}(z)$$

in the form

$$g_{nm}(z) = N_{nm} \ell_{nm}(z), \quad (46)$$

where we have set

$$N_{nm} = \frac{1}{\sqrt{\pi}a} \sqrt{\frac{n!}{(n+|m|)!}} \quad (47)$$

$$\ell_{nm} = e^{-z/2} z^{|m|/2} L_n^{|m|}(z). \quad (48)$$

We now need to evaluate

$$\Pi_{\pm} \psi_{nm}(r, \theta) = -i \frac{\hbar}{a} N_{nm} e^{i(m \pm 1)\theta} \sqrt{z} \left( 2 \frac{\partial}{\partial z} \mp \frac{m}{z} \pm \kappa \right) \ell_{nm}(z),$$

i.e. it is enough if we concentrate on the term

$$\Lambda_{nm}^{\pm} = \sqrt{z} \left( 2 \frac{\partial}{\partial z} \mp \frac{m}{z} \pm \kappa \right) \ell_{nm}(z) = \sqrt{z} \left( 2 \ell'_{nm} \mp \frac{m}{z} \ell_{nm} \pm \kappa \ell_{nm} \right)$$

The derivative of  $\ell_{nm}$  is

$$\ell'_{nm} = e^{-z/2} z^{|m|/2} \left( -\frac{1}{2} L_n^{|m|}(z) + \frac{|m|}{2z} L_n^{|m|}(z) + L_n^{|m|'}(z) \right).$$

We look at the cases of positive and negative angular momenta separately.

### 1.2.1 $\Lambda_{nm}^+$ and nonnegative angular momenta $m \geq 0$

When  $m \geq 0$  our  $\ell$  can be written as

$$\ell_{nm} = e^{-z/2} z^{m/2} L_n^m(z)$$

and its derivative as

$$\ell'_{nm} = e^{-z/2} z^{m/2} \left( -\frac{1}{2} L_n^m(z) + \frac{m}{2z} L_n^m(z) + L_n^{m'}(z) \right).$$

Using the properties

$$\frac{d}{dz} L_n^\alpha(z) = -L_{n-1}^{\alpha+1}(z) \quad (49)$$

$$L_n^{\alpha-1}(z) = L_n^\alpha(z) - L_{n-1}^\alpha(z) \quad (50)$$

we now have

$$\begin{aligned} \Lambda_{nm}^+ &= \sqrt{z} \left( 2 \ell'_{nm} - \frac{m}{z} \ell_{nm} + \kappa \ell_{nm} \right) \\ &= e^{-z/2} z^{(m+1)/2} \left( -L_n^m + \frac{m}{z} L_n^m + 2L_n^{m'} - \frac{m}{z} L_n^m + \kappa L_n^m \right) \\ &= e^{-z/2} z^{(m+1)/2} \left[ 2(L_n^{m'} - L_n^m) + (1 + \kappa) L_n^m \right] \\ &= e^{-z/2} z^{(m+1)/2} \left[ 2(-L_{n-1}^{m+1} - L_n^m) + (1 + \kappa) L_n^m \right] \\ &= e^{-z/2} z^{(m+1)/2} \left[ -2L_n^{m+1} + (1 + \kappa) L_n^m \right] \\ &= e^{-z/2} z^{(m+1)/2} \left[ -2L_n^{m+1} + (1 + \kappa) (L_n^{m+1} - L_{n-1}^{m+1}) \right] \\ &= e^{-z/2} z^{(m+1)/2} \left[ -(1 - \kappa) L_{n,m+1} - (1 + \kappa) L_{n-1,m+1} \right] \\ &= -(1 - \kappa) \ell_{n,m+1} - (1 + \kappa) \ell_{n-1,m+1}. \end{aligned}$$

To see the effect of  $\Pi_+$  we need to multiply  $\Lambda_{nm}^+$  by the normalization factor  $N_{nm}$  as

$$\begin{aligned}
N_{nm}\Lambda_{nm}^+ &= \frac{1}{\sqrt{\pi a}} \sqrt{\frac{n!}{(n+m)!}} [-(1-\kappa)\ell_{n,m+1} - (1+\kappa)\ell_{n-1,m+1}] \\
&= -\frac{1}{\sqrt{\pi a}} \left[ (1-\kappa)\sqrt{n+m+1} \sqrt{\frac{n!}{(n+m+1)!}} \ell_{n,m+1} \right. \\
&\quad \left. + (1+\kappa)\sqrt{n} \sqrt{\frac{(n-1)!}{(n-1+m+1)!}} \ell_{n-1,m+1} \right] \\
&= -(1-\kappa)\sqrt{n+m+1}g_{n,m+1} - (1+\kappa)\sqrt{n}g_{n-1,m+1}.
\end{aligned}$$

Hence we have shown that

$$\Pi_+\psi_{nm} = i\frac{\hbar}{a} [(1-\kappa)\sqrt{n+m+1}\psi_{n,m+1} + (1+\kappa)\sqrt{n}\psi_{n-1,m+1}].$$

### 1.2.2 $\Lambda_{nm}^+$ and negative angular momenta $m < 0$

When  $m < 0$  the function  $\ell$  is

$$\ell_{nm} = e^{-z/2} z^{-m/2} L_n^{-m}(z)$$

and the derivative

$$\ell'_{nm} = e^{-z/2} z^{-m/2} \left( -\frac{1}{2}L_n^{-m}(z) - \frac{m}{2z}L_n^{-m}(z) + L_n^{-m'}(z) \right).$$

Using, in addition to the property (128) the relations

$$z \frac{d}{dz} L_n^\alpha(z) = nL_n^\alpha(z) - (n+\alpha)L_{n-1}^\alpha(z) \quad (51)$$

$$zL_n^{\alpha+1}(z) = (n+\alpha+1)L_n^\alpha(z) - (n+1)L_{n+1}^\alpha(z) \quad (52)$$

we now evaluate

$$\begin{aligned}
\Lambda_{nm}^+ &= \sqrt{z} \left( 2\ell'_{nm} - \frac{m}{z} \ell_{nm} + \kappa \ell_{nm} \right) \\
&= e^{-z/2} z^{-(m+1)/2} \left( -zL_n^{-m} - mL_n^{-m} + 2zL_n^{-m'} - mL_n^{-m} + \kappa zL_n^{-m} \right) \\
&= e^{-z/2} z^{-(m+1)/2} \left( -zL_n^{-m} - 2mL_n^{-m} + 2nL_n^{-m} - 2(n-m)L_{n-1}^{-m} \right. \\
&\quad \left. + \kappa zL_n^{-m} \right) \\
&= e^{-z/2} z^{-(m+1)/2} \left[ -(1-\kappa)zL_n^{-m} + 2n(L_n^{-m} - L_{n-1}^{-m}) \right. \\
&\quad \left. - 2m(L_n^{-m} - L_{n-1}^{-m}) \right] \\
&= e^{-z/2} z^{-(m+1)/2} \left\{ -(1-\kappa) \left[ (n-m)L_n^{-m-1} - (n+1)L_{n+1}^{-m-1} \right] \right\}
\end{aligned}$$

$$\begin{aligned}
& +2(n-m)L_n^{-m-1} \} \\
= & e^{-z/2} z^{-(m+1)/2} [(1+\kappa)(n-m)L_n^{-m-1} + (1-\kappa)(n+1)L_{n+1}^{-m-1}] \\
= & e^{-z/2} z^{|m+1|/2} [(1+\kappa)(n+|m|)L_n^{|m+1|} + (1-\kappa)(n+1)L_{n+1}^{|m+1|}] \\
= & (1+\kappa)(n+|m|)\ell_{n,m+1} + (1-\kappa)(n+1)\ell_{n+1,m+1}.
\end{aligned}$$

We should note that the relation

$$-m-1 = |m+1|$$

we used above is not valid when  $m=0$ .

Recalling that this time we have  $|m+1| = -m-1 = |m|-1$  we again look at

$$\begin{aligned}
N_{nm}\Lambda_{nm}^+ &= \frac{1}{\sqrt{\pi}a} \sqrt{\frac{n!}{(n+|m|)!}} [(1+\kappa)(n+|m|)\ell_{n,m+1} \\
& \quad + (1-\kappa)(n+1)\ell_{n+1,m+1}] \\
&= \frac{1}{\sqrt{\pi}a} \left[ (1+\kappa)\sqrt{n+|m|} \sqrt{\frac{n!}{(n+|m|-1)!}} \ell_{n,m+1} \right. \\
& \quad \left. + (1-\kappa)\sqrt{n+1} \sqrt{\frac{(n+1)!}{(n+1+|m|-1)!}} \ell_{n+1,m+1} \right] \\
&= \frac{1}{\sqrt{\pi}a} \left[ (1+\kappa)\sqrt{n+|m|}g_{n,m+1} + (1-\kappa)\sqrt{n+1}g_{n+1,m+1} \right].
\end{aligned}$$

Correspondingly we have

$$\Pi_+ \psi_{nm} = -i \frac{\hbar}{a} \left[ (1+\kappa)\sqrt{n+|m|}\psi_{n,m+1} + (1-\kappa)\sqrt{n+1}\psi_{n+1,m+1} \right].$$

### 1.2.3 $\Lambda^-$ and positive angular momenta $m > 0$

Next we evaluate  $\Lambda_{nm}^-$  recalling that for positive  $m$  we have

$$\begin{aligned}
\ell_{nm} &= e^{-z/2} z^{m/2} L_n^m(z) \\
\ell'_{nm} &= e^{-z/2} z^{m/2} \left( -\frac{1}{2}L_n^m(z) + \frac{m}{2z}L_n^m(z) + L_n^{m'}(z) \right).
\end{aligned}$$

We proceed as

$$\begin{aligned}
\Lambda_{nm}^- &= \sqrt{z} \left( 2\ell'_{nm} + \frac{m}{z} \ell_{nm} - \kappa \ell_{nm} \right) \\
&= e^{-z/2} z^{(m-1)/2} \left( -zL_n^m + mL_n^m + 2zL_n^{m'} + mL_n^m - \kappa zL_n^m \right) \\
&= e^{-z/2} z^{(m-1)/2} \left[ -zL_n^m + 2mL_n^m + 2nL_n^m - 2(n+m)L_{n-1}^m - \kappa zL_n^m \right] \\
&= e^{-z/2} z^{(m-1)/2} \left[ -zL_n^m + 2n(L_n^m - L_{n-1}^m) \right]
\end{aligned}$$

$$\begin{aligned}
& +2m(L_n^m - L_{n-1}^m) - \kappa z L_n^m] \\
= & e^{-z/2} z^{(m-1)/2} [-(1+\kappa)zL_n^m + 2(n+m)L_n^{m-1}] \\
= & e^{-z/2} z^{(m-1)/2} [2(n+m)L_n^{m-1} \\
& - (1+\kappa)((n+m)L_n^{m-1} - (n+1)L_{n+1}^{m-1})] \\
= & e^{-z/2} z^{(m-1)/2} [(1-\kappa)(n+m)L_n^{m-1} + (1+\kappa)(n+1)L_{n+1}^{m-1}] \\
= & (1-\kappa)(n+m)\ell_{n,m-1} + (1+\kappa)(n+1)\ell_{n+1,m-1}.
\end{aligned}$$

We should again note that this derivation is not valid for  $m = 0$  because in that case  $m - 1$  in  $L^{m-1}$  would be negative, contrary to the definition of  $\ell$ .

This time we have  $|m - 1| = m - 1$  and we get

$$\begin{aligned}
N_{nm}\Lambda_{nm}^- &= \frac{1}{\sqrt{\pi a}} \sqrt{\frac{n!}{(n+m)!}} [(1-\kappa)(n+m)\ell_{n,m-1} \\
& \quad + (1+\kappa)(n+1)\ell_{n+1,m-1}] \\
&= \frac{1}{\sqrt{\pi a}} \left[ (1-\kappa)\sqrt{n+m} \sqrt{\frac{n!}{(n+m-1)!}} \ell_{n,m-1} \right. \\
& \quad \left. + (1+\kappa)\sqrt{n+1} \sqrt{\frac{(n+1)!}{(n+1+m-1)!}} \ell_{n+1,m-1} \right] \\
&= (1-\kappa)\sqrt{n+m}g_{n,m-1} + (1+\kappa)\sqrt{n+1}g_{n+1,m-1},
\end{aligned}$$

so that

$$\Pi_- \psi_{nm} = -i \frac{\hbar}{a} [(1-\kappa)\sqrt{n+m}\psi_{n,m-1} + (1+\kappa)\sqrt{n+1}\psi_{n+1,m-1}].$$

#### 1.2.4 $\Lambda_{nm}^-$ for nonpositive angular momenta $m \leq 0$

For nonpositive  $m$  we had

$$\begin{aligned}
\ell_{nm} &= e^{-z/2} z^{-m/2} L_n^{-m}(z) \\
\ell'_{nm} &= e^{-z/2} z^{-m/2} \left( -\frac{1}{2} L_n^{-m}(z) - \frac{m}{2z} L_n^{-m}(z) + L_n^{-m'}(z) \right).
\end{aligned}$$

We evaluate

$$\begin{aligned}
\Lambda_{nm}^- &= \sqrt{z} \left( 2\ell'_{nm} + \frac{m}{z} \ell_{nm} - \kappa \ell_{nm} \right) \\
&= e^{-z/2} z^{(-m+1)/2} \left( -L_n^{-m} - \frac{m}{z} L_n^{-m} + 2L_n^{-m'} + \frac{m}{z} L_n^{-m} - \kappa L_n^{-m} \right) \\
&= e^{-z/2} z^{(-m+1)/2} \left( -(1+\kappa)L_n^{-m} - 2L_{n-1}^{-m+1} \right) \\
&= e^{-z/2} z^{(-m+1)/2} \left[ -(1+\kappa)(L_n^{-m+1} - L_{n-1}^{-m+1}) - 2L_{n-1}^{-m+1} \right] \\
&= e^{-z/2} z^{(-m+1)/2} \left( -(1+\kappa)L_n^{-m+1} - (1-\kappa)L_{n-1}^{-m+1} \right) \\
&= e^{-z/2} z^{|m-1|/2} \left( -(1+\kappa)L_n^{|m-1|} - (1-\kappa)L_{n-1}^{|m-1|} \right) \\
&= -(1+\kappa)\ell_{n,m-1} - (1-\kappa)\ell_{n-1,m-1}.
\end{aligned}$$

This is valid also in the special case  $m = 0$  because even then we have  $1 - m = |1 - m|$ .

Because this time we have  $|m - 1| = 1 - m = 1 + |m|$  we can write

$$\begin{aligned}
N_{nm}\Lambda_{nm}^- &= -\frac{1}{\sqrt{\pi}a}\sqrt{\frac{n!}{(n+|m|)!}}[(1+\kappa)\ell_{n,m-1} + (1-\kappa)\ell_{n-1,m-1}] \\
&= -\frac{1}{\sqrt{\pi}a}\left[(1+\kappa)\sqrt{n+|m|+1}\sqrt{\frac{n!}{(n+|m|+1)!}}\ell_{n,m-1}\right. \\
&\quad \left.+(1-\kappa)\sqrt{n}\sqrt{\frac{(n-1)!}{(n-1+|m|+1)!}}\ell_{n-1,m-1}\right] \\
&= -(1+\kappa)\sqrt{n+|m-1}|g_{n,m-1} - (1-\kappa)\sqrt{n}g_{n-1,m-1}
\end{aligned}$$

and, correspondingly

$$\Pi_- \psi_{nm} = i \frac{\hbar}{a} \left[ (1+\kappa)\sqrt{n+|m-1|}\psi_{n,m-1} + (1-\kappa)\sqrt{n}\psi_{n-1,m-1} \right].$$

### 1.2.5 Matrix elements

We define the state vectors  $|nm\rangle$  via the relation

$$\psi_{nm}(\vec{r}) = \langle \vec{r} | nm \rangle \quad (53)$$

and collect our results as

$$\begin{aligned} \Pi_+ |nm\rangle &= i \frac{\hbar}{a} \left[ (1-\kappa)\sqrt{n+m+1}|n, m+1\rangle \right. \\ &\quad \left. + (1+\kappa)\sqrt{n}|n-1, m+1\rangle \right]; \quad m \geq 0 \end{aligned} \quad (54)$$

$$\begin{aligned} \Pi_+ |nm\rangle &= -i \frac{\hbar}{a} \left[ (1+\kappa)\sqrt{n+|m|}|n, m+1\rangle \right. \\ &\quad \left. + (1-\kappa)\sqrt{n+1}|n+1, m+1\rangle \right]; \quad m < 0 \end{aligned} \quad (55)$$

$$\begin{aligned} \Pi_- |nm\rangle &= -i \frac{\hbar}{a} \left[ (1-\kappa)\sqrt{n+m}|n, m-1\rangle \right. \\ &\quad \left. + (1+\kappa)\sqrt{n+1}|n+1, m-1\rangle \right]; \quad m > 0 \end{aligned} \quad (56)$$

$$\begin{aligned} \Pi_- |nm\rangle &= i \frac{\hbar}{a} \left[ (1+\kappa)\sqrt{n+|m-1|}|n, m-1\rangle \right. \\ &\quad \left. + (1-\kappa)\sqrt{n}|n-1, m-1\rangle \right]; \quad m \leq 0. \end{aligned} \quad (57)$$

Because the SO Hamiltonian  $H_{\text{SO}}$  (118) was

$$H_{\text{SO}} = i \frac{\alpha}{\hbar} \begin{pmatrix} 0 & \Pi_- \\ -\Pi_+ & 0 \end{pmatrix},$$

and because  $\Pi_-$  decreases and  $\Pi_+$  increases the orbital angular momentum by one whereas  $H_s^0$  is diagonal in the spin space and conserves the orbital angular



momentum the eigenstates of the single particle Hamiltonian

$$H_{\text{SP}} = H_{\text{S}}^0 + H_{\text{SO}}$$

must be of the form

$$\eta = \begin{pmatrix} g_m^\uparrow \\ g_{m+1}^\downarrow \end{pmatrix}, \quad (58)$$

where  $g_m^{\uparrow,\downarrow}$  are eigenstates of the orbital angular momentum with eigenvalue  $m$ . In particular, the matrix elements of  $H_{\text{SO}}$  between the basic spinor states are

$$\begin{aligned} i\frac{\alpha}{\hbar} \begin{pmatrix} |n'm'\rangle \\ 0 \end{pmatrix}^\dagger \begin{pmatrix} 0 & \Pi_- \\ -\Pi_+ & 0 \end{pmatrix} \begin{pmatrix} |nm\rangle \\ 0 \end{pmatrix} &= 0 \\ i\frac{\alpha}{\hbar} \begin{pmatrix} 0 \\ |n'm'\rangle \end{pmatrix}^\dagger \begin{pmatrix} 0 & \Pi_- \\ -\Pi_+ & 0 \end{pmatrix} \begin{pmatrix} 0 \\ |nm\rangle \end{pmatrix} &= 0 \end{aligned}$$

and

$$\begin{aligned} i\frac{\alpha}{\hbar} \begin{pmatrix} 0 \\ |n'm'\rangle \end{pmatrix}^\dagger \begin{pmatrix} 0 & \Pi_- \\ -\Pi_+ & 0 \end{pmatrix} \begin{pmatrix} |nm\rangle \\ 0 \end{pmatrix} &= -i\frac{\alpha}{\hbar} \langle n'm' | \Pi_+ | nm \rangle \\ i\frac{\alpha}{\hbar} \begin{pmatrix} |n'm'\rangle \\ 0 \end{pmatrix}^\dagger \begin{pmatrix} 0 & \Pi_- \\ -\Pi_+ & 0 \end{pmatrix} \begin{pmatrix} 0 \\ |nm\rangle \end{pmatrix} &= i\frac{\alpha}{\hbar} \langle n'm' | \Pi_- | nm \rangle. \end{aligned}$$

The latter equations evaluate further to

$$\begin{aligned} &\begin{pmatrix} 0 \\ |n'm'\rangle \end{pmatrix}^\dagger H_{\text{SO}} \begin{pmatrix} |nm\rangle \\ 0 \end{pmatrix} \\ &= \frac{\alpha}{a} [(1 - \kappa)\sqrt{n+m+1}\langle n'm' | n, m+1 \rangle \\ &\quad + (1 + \kappa)\sqrt{n}\langle n'm' | n-1, m+1 \rangle], \quad m \geq 0 \\ &= -\frac{\alpha}{a} [(1 + \kappa)\sqrt{n+|m|}\langle n'm' | n, m+1 \rangle \\ &\quad + (1 - \kappa)\sqrt{n+1}\langle n'm' | n+1, m+1 \rangle], \quad m < 0 \\ &\begin{pmatrix} |n'm'\rangle \\ 0 \end{pmatrix}^\dagger H_{\text{SO}} \begin{pmatrix} 0 \\ |nm\rangle \end{pmatrix} \\ &= \frac{\alpha}{a} [(1 - \kappa)\sqrt{n+m}\langle n'm' | n, m-1 \rangle \\ &\quad + (1 + \kappa)\sqrt{n+1}\langle n'm' | n+1, m-1 \rangle], \quad m > 0 \\ &= -\frac{\alpha}{a} [(1 + \kappa)\sqrt{n+|m-1|}\langle n'm' | n, m-1 \rangle \\ &\quad + (1 - \kappa)\sqrt{n}\langle n'm' | n-1, m-1 \rangle], \quad m \leq 0. \end{aligned}$$

We expand the functions  $g_m^{\uparrow,\downarrow}$  as

$$g_m^\sigma = \sum_n c_{nm}^\sigma |nm\rangle. \quad (59)$$

The two component spinors can be correspondingly expanded as

$$\eta = \sum_n c_{nm}^\dagger \begin{pmatrix} |nm\rangle \\ 0 \end{pmatrix} + \sum_n c_{nm}^\downarrow \begin{pmatrix} 0 \\ |n, m+1\rangle \end{pmatrix}. \quad (60)$$

Now, the effect of  $H_{\text{SO}}$  on  $\eta$  is

$$\begin{aligned} H_{\text{SO}}\eta &= i \frac{\alpha}{\hbar} \begin{pmatrix} 0 & \Pi_- \\ -\Pi_+ & 0 \end{pmatrix} \left[ \sum_n c_{nm}^\dagger \begin{pmatrix} |nm\rangle \\ 0 \end{pmatrix} \right. \\ &\quad \left. + \sum_n c_{nm}^\downarrow \begin{pmatrix} 0 \\ |n, m+1\rangle \end{pmatrix} \right] \\ &= i \frac{\alpha}{\hbar} \left[ \sum_n c_{nm}^\dagger \begin{pmatrix} 0 \\ -\Pi_+ |nm\rangle \end{pmatrix} + \sum_n c_{nm}^\downarrow \begin{pmatrix} \Pi_- |n, m+1\rangle \\ 0 \end{pmatrix} \right], \end{aligned}$$

so we clearly need to consider only the matrix elements  $\langle n', m+1 | \Pi_+ | nm \rangle$  and  $\langle n' m | \Pi_- | n, m+1 \rangle$ . The former one gives

$$\begin{aligned} \langle n', m+1 | \Pi_+ | nm \rangle &= \\ i \frac{\hbar}{a} \begin{cases} (1-\kappa)\sqrt{n+m+1}\delta_{n'n} + (1+\kappa)\sqrt{n}\delta_{n',n-1}; & m \geq 0 \\ -(1+\kappa)\sqrt{n+|m|}\delta_{n'n} - (1-\kappa)\sqrt{n+1}\delta_{n',n+1}; & m < 0 \end{cases} \quad (61) \end{aligned}$$

and the latter one

$$\begin{aligned} \langle n', m | \Pi_- | n, m+1 \rangle &= \\ -i \frac{\hbar}{a} \begin{cases} (1-\kappa)\sqrt{n+m+1}\delta_{n'n} + (1+\kappa)\sqrt{n+1}\delta_{n',n+1}; & m > 0 \\ -(1+\kappa)\sqrt{n+|m|}\delta_{n'n} - (1-\kappa)\sqrt{n}\delta_{n',n-1}; & m \leq 0. \end{cases} \quad (62) \end{aligned}$$

From now on we assume that the orbital angular momentum of the upper components of the spinors are fixed to  $m$  and the lower ones to  $m+1$ . Defining the spinors

$$|n; \uparrow\rangle = \begin{pmatrix} |n, m\rangle \\ 0 \end{pmatrix} \quad (63)$$

$$|n; \downarrow\rangle = \begin{pmatrix} 0 \\ |n, m+1\rangle \end{pmatrix} \quad (64)$$

and the short hand

$$\eta^\pm = 1 \pm \kappa \quad (65)$$

we see that

$$\begin{aligned} \langle n'; \uparrow | H_{\text{SO}} | n; \uparrow \rangle &= 0 \\ \langle n'; \uparrow | H_{\text{SO}} | n; \downarrow \rangle &= \frac{\alpha}{a} \begin{cases} \eta^- \sqrt{n+m+1}\delta_{n'n} + \eta^+ \sqrt{n+1}\delta_{n',n+1}; & m > 0 \\ -\eta^+ \sqrt{n+|m|}\delta_{n'n} - \eta^- \sqrt{n}\delta_{n',n-1}; & m \leq 0 \end{cases} \\ \langle n'; \downarrow | H_{\text{SO}} | n; \uparrow \rangle &= \frac{\alpha}{a} \begin{cases} \eta^- \sqrt{n+m+1}\delta_{n'n} + \eta^+ \sqrt{n}\delta_{n',n-1}; & m \geq 0 \\ -\eta^+ \sqrt{n+|m|}\delta_{n'n} - \eta^- \sqrt{n+1}\delta_{n',n+1}; & m < 0 \end{cases} \\ \langle n'; \downarrow | H_{\text{SO}} | n; \downarrow \rangle &= 0. \end{aligned}$$

We now consider positive and negative orbital angular momenta separately. For angular momenta  $m \geq 0$  we define

$$|2n; +\rangle = |n; \uparrow\rangle = \begin{pmatrix} |nm\rangle \\ 0 \end{pmatrix} \quad (66)$$

$$z_{2n}^+ = c_{nm}^\uparrow \quad (67)$$

$$|2n+1; +\rangle = |n; \downarrow\rangle = \begin{pmatrix} 0 \\ |n, m+1\rangle \end{pmatrix} \quad (68)$$

$$z_{2n+1}^+ = c_{nm}^\downarrow \quad (69)$$

and for the angular momenta  $m < 0$

$$|2n; -\rangle = |n; \downarrow\rangle = \begin{pmatrix} 0 \\ |n, m+1\rangle \end{pmatrix} \quad (70)$$

$$z_{2n}^- = c_{nm}^\downarrow \quad (71)$$

$$|2n+1; -\rangle = |n; \uparrow\rangle = \begin{pmatrix} |n, m\rangle \\ 0 \end{pmatrix} \quad (72)$$

$$z_{2n+1}^- = c_{nm}^\uparrow. \quad (73)$$

The matrix elements of  $H_{\text{SO}}$  now read

$$\begin{aligned} \langle 2n-1; + | H_{\text{SO}} | 2n; + \rangle &= \langle n-1; \downarrow | H_{\text{SO}} | n; \uparrow \rangle = \eta^+ \frac{\alpha}{a} \sqrt{n} \\ \langle 2n; + | H_{\text{SO}} | 2n; + \rangle &= \langle n; \uparrow | H_{\text{SO}} | n; \uparrow \rangle = 0 \\ \langle 2n+1; + | H_{\text{SO}} | 2n; + \rangle &= \langle n; \downarrow | H_{\text{SO}} | n; \uparrow \rangle = \eta^- \frac{\alpha}{a} \sqrt{n+m+1} \\ \langle 2n; + | H_{\text{SO}} | 2n+1; + \rangle &= \langle n; \uparrow | H_{\text{SO}} | n; \downarrow \rangle = \eta^- \frac{\alpha}{a} \sqrt{n+m+1} \\ \langle 2n+1; + | H_{\text{SO}} | 2n+1; + \rangle &= \langle n; \downarrow | H_{\text{SO}} | n; \downarrow \rangle = 0 \\ \langle 2n+2; + | H_{\text{SO}} | 2n+1; + \rangle &= \langle n+1; \uparrow | H_{\text{SO}} | n; \downarrow \rangle = \eta^+ \frac{\alpha}{a} \sqrt{n+1} \end{aligned}$$

and

$$\begin{aligned} \langle 2n-1; - | H_{\text{SO}} | 2n; - \rangle &= \langle n-1; \uparrow | H_{\text{SO}} | n; \downarrow \rangle = -\eta^- \frac{\alpha}{a} \sqrt{n} \\ \langle 2n; - | H_{\text{SO}} | 2n; - \rangle &= \langle n; \downarrow | H_{\text{SO}} | n; \downarrow \rangle = 0 \\ \langle 2n+1; - | H_{\text{SO}} | 2n; - \rangle &= \langle n; \uparrow | H_{\text{SO}} | n; \downarrow \rangle = -\eta^+ \frac{\alpha}{a} \sqrt{n+|m|} \\ \langle 2n; - | H_{\text{SO}} | 2n+1; - \rangle &= \langle n; \downarrow | H_{\text{SO}} | n; \uparrow \rangle = -\eta^+ \frac{\alpha}{a} \sqrt{n+|m|} \\ \langle 2n+1; - | H_{\text{SO}} | 2n+1; - \rangle &= \langle n; \uparrow | H_{\text{SO}} | n; \uparrow \rangle = 0 \\ \langle 2n+2; - | H_{\text{SO}} | 2n+1; - \rangle &= \langle n+1; \downarrow | H_{\text{SO}} | n; \uparrow \rangle = -\eta^- \frac{\alpha}{a} \sqrt{n+1}. \end{aligned}$$

We now check that the matrix with matrix elements  $\langle i; \pm | H_{\text{SO}} | j; \pm \rangle$  is Hermitian or actually, because the matrix elements are real, symmetric. First, for

$m \geq 0$  we have

$$\begin{aligned}
\langle i; + | H_{\text{SO}} | j; + \rangle &= \frac{\alpha}{a} \begin{cases} \eta^+ \sqrt{n}; & i = 2n - 1, \quad j = 2n \\ \eta^- \sqrt{n + m + 1}; & i = 2n + 1, \quad j = 2n \\ \eta^- \sqrt{n + m + 1}; & i = 2n, \quad j = 2n + 1 \\ \eta^+ \sqrt{n + 1}; & i = 2n + 2, \quad j = 2n + 1 \end{cases} \\
&= \frac{\alpha}{a} \begin{cases} \eta^+ \sqrt{n}; & i = 2n - 1, \quad j = 2n \\ \eta^- \sqrt{n + m + 1}; & i = 2n + 1, \quad j = 2n \\ \eta^- \sqrt{n + m + 1}; & i = 2n, \quad j = 2n + 1 \\ \eta^+ \sqrt{n}; & i = 2n, \quad j = 2n - 1 \end{cases} \\
&= \frac{\alpha}{a} \begin{cases} \eta^+ \sqrt{n}; & i = 2n, \quad j = 2n - 1 \\ \eta^- \sqrt{n + m + 1}; & i = 2n, \quad j = 2n + 1 \\ \eta^- \sqrt{n + m + 1}; & i = 2n + 1, \quad j = 2n \\ \eta^+ \sqrt{n}; & i = 2n - 1, \quad j = 2n \end{cases} \\
&= \langle j; + | H_{\text{SO}} | i; + \rangle
\end{aligned}$$

and next, for  $m < 0$

$$\begin{aligned}
\langle i; - | H_{\text{SO}} | j; - \rangle &= -\frac{\alpha}{a} \begin{cases} \eta^- \sqrt{n}; & i = 2n - 1, \quad j = 2n \\ \eta^+ \sqrt{n + |m|}; & i = 2n + 1, \quad j = 2n \\ \eta^+ \sqrt{n + |m|}; & i = 2n, \quad j = 2n + 1 \\ \eta^- \sqrt{n + 1}; & i = 2n + 2, \quad j = 2n + 1 \end{cases} \\
&= -\frac{\alpha}{a} \begin{cases} \eta^- \sqrt{n}; & i = 2n - 1, \quad j = 2n \\ \eta^+ \sqrt{n + |m|}; & i = 2n + 1, \quad j = 2n \\ \eta^+ \sqrt{n + |m|}; & i = 2n, \quad j = 2n + 1 \\ \eta^- \sqrt{n}; & i = 2n, \quad j = 2n - 1 \end{cases} \\
&= -\frac{\alpha}{a} \begin{cases} \eta^- \sqrt{n}; & i = 2n, \quad j = 2n - 1 \\ \eta^+ \sqrt{n + |m|}; & i = 2n, \quad j = 2n + 1 \\ \eta^+ \sqrt{n + |m|}; & i = 2n + 1, \quad j = 2n \\ \eta^- \sqrt{n}; & i = 2n - 1, \quad j = 2n \end{cases} \\
&= \langle j; - | H_{\text{SO}} | i; - \rangle.
\end{aligned}$$

We can see that in the matrix representation  $H_{\text{SO}}$  is a symmetric tridiagonal matrix with vanishing diagonal and with subdiagonals

$$\langle i + 1; + | H_{\text{SO}} | i; + \rangle = \frac{\alpha}{a} \begin{cases} \eta^- \sqrt{n + m + 1}; & i = 2n + 1 \\ \eta^+ \sqrt{n + 1}; & i = 2n + 2 \end{cases} \quad (74)$$

and

$$\langle i + 1; - | H_{\text{SO}} | i; - \rangle = -\frac{\alpha}{a} \begin{cases} \eta^+ \sqrt{n + |m|}; & i = 2n + 1 \\ \eta^- \sqrt{n + 1}; & i = 2n + 2. \end{cases} \quad (75)$$