

### 5.3. Non-abelian gauge fields

• We can generalize the transformations

$$\phi \rightarrow e^{i\theta} \psi \text{ of complex field to}$$

a transformation of a complex vector:

$$\phi = \begin{pmatrix} \phi_1 \\ \phi_2 \\ \vdots \\ \phi_{N_c} \end{pmatrix} \in \mathbb{C}^{N_c} \quad N_c: \text{"number of colours"}$$

$$\phi(x) \rightarrow \phi'(x) = U(x) \phi(x) \tag{5.8}$$

where  $U(x)$  is a member of gauge group

• Demand that  $|\phi|^2 = \phi^\dagger \phi = \sum_i \phi_i^* \phi_i$  is invariant  $\Rightarrow$

$$\phi^\dagger \phi \rightarrow \phi^\dagger U^\dagger U \phi = \phi^\dagger \phi \quad \text{for } \forall \phi$$

$$\Rightarrow \underline{U^\dagger U = \mathbb{1}} \quad U \text{ is unitary matrix,}$$

$$\boxed{U \in U(N_c)} \text{ group of unitary } N_c^2 \text{ matrices.}$$

• Group:

1. If  $A, B \in U(N_c)$ ,  $C = AB \in U(N_c)$ :

$$C^\dagger C = B^\dagger A^\dagger AB = \mathbb{1}.$$

2. For each  $A \in U(N_c) \exists A^{-1} \in U(N_c)$  so that  $A^{-1}A = \mathbb{1}$ :

$$A^{-1} = A^\dagger \in U(N_c)$$

3.  $\mathbb{1} \in U(N_c)$

4.  $(AB)C = A(BC)$

- Note:  $A^\dagger A = \mathbb{1} \Rightarrow (\det A)^* \det A = 1$   
 $\Rightarrow |\det A| = 1 \quad ; \quad A \in U(N_c)$

Thus, we can always find a phase so that

$$A = e^{i\theta} B, \quad \det B = 1, \quad B^\dagger B = B B^\dagger = \mathbb{1}$$

Have  $\boxed{B \in SU(N_c)}$ , group of special unitary matrices

$$U(N_c) = U(1) \otimes SU(N_c), \quad \text{direct product}$$

- The gauge groups appearing (or found, so far) in Nature are  $U(1)$ ,  $SU(2)$ ,  $SU(3)$

QED      WEAK      QCD

- For fermions:

$$\psi_\alpha(x) \rightarrow \psi'_\alpha(x) = U(x) \psi_\alpha(x), \quad \alpha = 1 \dots 4$$

Dirac-index

i.e. each component transforms separately:

$$\psi_\alpha = \mathbb{G}^{N_c}, \quad N_c\text{-comp. Grassmann-vector.}$$

Full  $\psi$  is  $4 \times N_c$ -component Grassmann-vector

- Note:  $\partial_\mu \phi(x) \rightarrow \partial_\mu (U(x) \phi(x))$   
 $= (\partial_\mu U) \phi + U \partial_\mu \phi$   
 $= U (U^\dagger \partial_\mu U + \partial_\mu) \phi$

$\Rightarrow \partial_\mu \phi^\dagger \partial^\mu \phi$  is not gauge invariant!

Generalize  $\partial_\mu \rightarrow D_\mu$ , covariant derivative as in U(1). But how?

• Any  $U \in SU(N_c)$  can be written as

$$U = e^{i \sum_{a=1}^{N_c^2-1} \theta_a T_a} \tag{5.9}$$

$\theta_a \in \mathbb{R}$ ,  $T_a = N_c \times N_c$ -matrix, generators of  $SU(N_c)$ .  $N_c^2 - 1$  different.

•  $T_a^\dagger = T_a$  hermitean ( $\Rightarrow U^\dagger = U^{-1}$ ) (5.10)

•  $\text{Tr } T_a = 0$  ( $\Rightarrow \det U = 1$ ) (5.11)

•  $\text{Tr } [T_a T_b] = \frac{1}{2} \delta_{ab}$  normalization (5.12)

•  $[T_a, T_b] = \sum_c f_{abc} T_c$  (5.13)

↑ structure constants of the group Lie algebra

• For  $SU(2)$ , 3 generators:

$$T_a = \frac{1}{2} \sigma_a \quad ; \quad [T_a, T_b] = i \epsilon_{abc} \sigma_c \tag{5.14}$$

↑  
Pauli matrix

• For  $SU(3)$ , 8 Gell-Mann matrices

$$\begin{aligned} \lambda_1 &= \frac{1}{2} \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} & \lambda_2 &= \frac{1}{2} \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} & \lambda_3 &= \frac{1}{2} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix} & \lambda_4 &= \frac{1}{2} \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix} \\ \lambda_5 &= \frac{1}{2} \begin{pmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{pmatrix} & \lambda_6 &= \frac{1}{2} \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} & \lambda_7 &= \frac{1}{2} \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{pmatrix} & \lambda_8 &= \frac{1}{2\sqrt{3}} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -2 \end{pmatrix} \end{aligned}$$

• Why  $N_c^2 - 1$  ?

• Clearly, we can write  $U = e^{iA}$   
 $A^\dagger = A, \text{tr} A = 0$  ( $\det M = e^{\text{tr} \ln M}$ )

•  $A^\dagger = A \Rightarrow \begin{cases} a_{ii} \in \mathbb{R} \text{ (no sum), } N_c \text{ real} \\ a_{ij} = a_{ji}^* \text{ } \frac{1}{2} N_c(N_c - 1) \times 2 \text{ real} \end{cases}$   
real degrees of freedom

•  $\text{tr} A = 0 \Rightarrow \sum_i a_{ii} = 0$  takes away  
1 real d.o.f.

$\Rightarrow N_c(N_c - 1) + N_c - 1 = \underline{N_c^2 - 1}$

Try now covariant derivative

$D_\mu \equiv \partial_\mu - ig A_\mu$  (5.15)

$g \equiv$  coupling constant

$A_\mu(x) = \sum_{a=1}^{N_c^2 - 1} A_\mu^a(x) T^a, A_\mu^a(x) \in \mathbb{R}$  (5.16)

Now, transformation

$A_\mu(x) \rightarrow A'_\mu(x) = U(x) A_\mu(x) U^\dagger(x) + \frac{i}{g} U(x) \partial_\mu U^\dagger(x)$  (5.17)

Leads to gauge invariance under (5.8)!

Proof :

a) Transformation keeps properties of A:

$$\begin{aligned}
\bullet \text{Tr } A' &= \text{Tr } UAU^\dagger + \frac{i}{g} \text{Tr } U \partial_\mu U^\dagger \\
&= \text{Tr } \underbrace{U^\dagger U}_1 A + \frac{i}{g} \text{Tr } \underbrace{\partial_\mu U^\dagger \cdot U}_0 \\
&\qquad\qquad\qquad - i(\partial_\mu \theta^a) T^a U^\dagger \quad (\text{from (5.9)}) \\
&= \text{Tr } A = 0. \qquad\qquad\qquad (5.18)
\end{aligned}$$

$$\bullet A'^\dagger = UAU^\dagger - \frac{i}{g} \underbrace{(\partial_\mu U)U^\dagger}_{\substack{\partial_\mu(UU^\dagger) - U\partial_\mu U^\dagger \\ = 0}} = A^\dagger \quad \text{or.}$$

$$\begin{aligned}
b) D_\mu' \phi' &= (\partial_\mu - igUA_\mu U^\dagger + U\partial_\mu U^\dagger) \cdot U\phi \\
&= U(\partial_\mu - igA_\mu)\phi + \underbrace{((\partial_\mu U)U^\dagger - U\partial_\mu U^\dagger)}_{=0} U\phi \\
&= U D_\mu \phi \qquad\qquad\qquad (5.19)
\end{aligned}$$

$$\Leftrightarrow \boxed{D_\mu' = U D_\mu U^\dagger} \qquad\qquad\qquad (5.20)$$

Thus, constructs like

$$\left\{ \begin{aligned} \mathcal{L}_M &= (D^\mu \phi)^\dagger (D_\mu \phi) - V(\phi^\dagger \phi) \end{aligned} \right. \qquad\qquad\qquad (5.21)$$

$$\begin{aligned}
\left\{ \begin{aligned} \mathcal{L}_M &= \bar{\psi}_\alpha \{ i[\gamma^\mu]_{\alpha\beta} D_\mu - m \delta_{\alpha\beta} \} \psi_\beta \\ &\equiv \bar{\psi} (i\gamma^\mu D_\mu - m) \psi \end{aligned} \right. \qquad\qquad\qquad (5.22)
\end{aligned}$$

are gauge invariant and suitable for  $\mathcal{L}$  (for scalars and fermions)

How about the kinetic term for  $A_\mu$ ?

• Define

$$F_{\mu\nu} \equiv \frac{i}{g} [D_\mu, D_\nu] = F_{\mu\nu}^a T^a \quad (5.23)$$

which is, in component form,

$$\begin{aligned} F_{\mu\nu}^a &= 2 \text{Tr} [T^a F_{\mu\nu}] \\ &= \frac{2i}{g} \text{Tr} \left[ T^a \left( \underbrace{[\partial_\mu, \partial_\nu]}_0 - ig \underbrace{[\partial_\mu, A_\nu]}_{\partial_\mu A_\nu^b T^b} - ig \underbrace{[A_\mu, \partial_\nu]}_{-\partial_\nu A_\mu^b T^b} - g^2 \underbrace{[A_\mu, A_\nu]}_{A_\mu^b A_\nu^c f^{bcd} T^d} \right) \right] \end{aligned}$$

$$= \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + gf^{abc} A_\mu^b A_\nu^c \quad (5.24)$$

• Or, alternatively,

$$F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu - ig[A_\mu, A_\nu] \quad (5.25)$$

• Here we used  $f^{abc} = f^{cab}$ ;  $f^{abc}$  antisymmetric w.r.t. any index swap

• In gauge transformations

$$\underline{F'_{\mu\nu}} = \frac{i}{g} [D'_\mu, D'_\nu] \stackrel{(5.20)}{=} \frac{i}{g} [U D_\mu U^\dagger, U D_\nu U^\dagger] = \underline{U F_{\mu\nu} U^\dagger} \quad (5.26)$$

Thus,  $\frac{1}{2} \text{Tr} [F^{\mu\nu} F_{\mu\nu}] = \frac{1}{4} F^{a\mu\nu} F^a_{\mu\nu}$  is gauge- (5.27)

invariant.

Thus, we obtain (with "canonical" normalization)

$$\mathcal{L} = -\frac{1}{4} F^{a\mu\nu} F^a_{\mu\nu} + \bar{\psi} (i\not{D} - m) \psi \quad \text{with } N_c = 3 : \underline{\underline{\text{QCD}}}$$

(a=1,2,3)

$$\mathcal{L} = -\frac{1}{4} F^{a\mu\nu} F^a_{\mu\nu} + (D^\nu \phi)^\dagger (D_\nu \phi) - V(\phi^\dagger \phi) \quad N_c = 2 :$$

weak gauge field  
+ Higgs boson

And with  $N_c \rightarrow 1$ ,  $T^a \rightarrow 1$ ,  $A_\mu^a \rightarrow A_\mu$

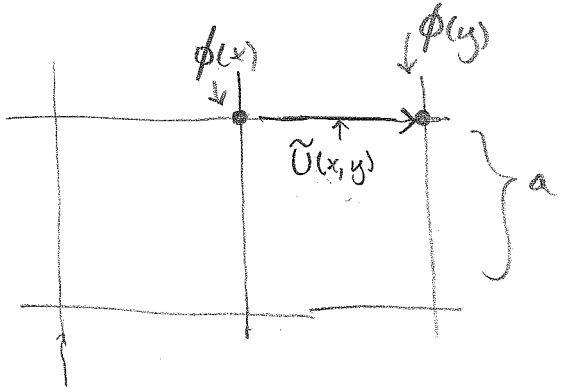
$F_{\mu\nu}^a \rightarrow F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$  we obtain QED:

$$\mathcal{L} = -\frac{1}{4} F^{\mu\nu} F_{\mu\nu} + \bar{\psi} (i\not{D} - m) \psi$$

NOTE: the defining feature of the covariant derivative is the gauge transformation (5.20):  $D'_\mu = U D_\mu U^\dagger$ . With this we can construct gauge invariant terms  $\phi^\dagger D_\mu \phi$ ,  $(D_\mu \phi)^\dagger (D^\mu \phi)$ . (5.20) and (5.15)  $\Rightarrow$  (5.17),  $A'_\mu = U A_\mu U^\dagger + \frac{i}{g} U (\partial_\mu U^\dagger)$

# Gauge fields on discrete lattice

An intuitive (and, again, mathematically solid) framework for gauge invariance is provided by discrete lattice:



approximate now

$$\partial_\mu \phi \approx \frac{1}{a} (\phi(y) - \phi(x)). \quad (5.23)$$

However, if we have local gauge transformation,

$\phi(x) \rightarrow U(x)\phi(x)$ ;  $\phi(y) \rightarrow U(y)\phi(y)$ ,  $U(x), U(y)$  arbitrary, the finite difference does not make sense.

However, if we postulate a "gauge connection" ("link matrix")  $\tilde{U}(x,y) \in (\text{Gauge group})$ , which "parallel transports"  $\phi(y)$  to  $x$  and which transforms as

$$\tilde{U}(x,y) \rightarrow U(x) \tilde{U}(x,y) U^\dagger(y) \quad (5.24)$$

we can define a covariant finite difference.

$$D_\mu \phi(x) \approx \frac{1}{a} (\tilde{U}(x,y) \phi(y) - \phi(x)) \quad (5.25)$$

Now  $D_\mu \phi(x) \rightarrow U(x) D_\mu \phi(x)$ , and  $(D_\mu \phi)^\dagger (D^\mu \phi)$  is gauge invariant.

This corresponds to parallel transport on p. (120)

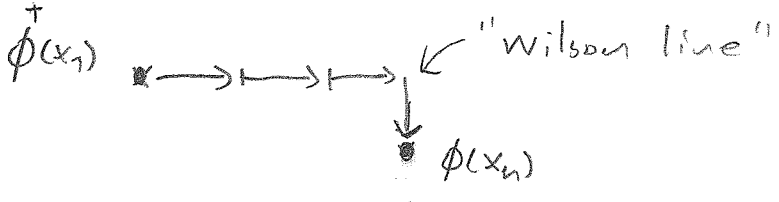
Infinitesimally  $\tilde{U} = e^{-iaA}$ , and (5.24)  $\Rightarrow$

$$A_\mu \rightarrow UA_\mu U^\dagger + \frac{i}{g} U \partial_\mu U^\dagger$$



It is now easy to see that the only gauge invariant quantities are

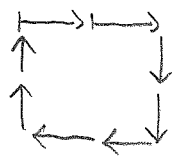
$$\phi^\dagger(x_1) \tilde{U}(x_1, x_2) \tilde{U}(x_2, x_3) \dots \tilde{U}(x_{n-1}, x_n) \phi(x_n)$$




and closed lines

$$\text{Tr} [\tilde{U}(x_1, x_2) \dots \tilde{U}(x_{n-1}, x_1)]$$

(Wilson loop)



Smallest loop, "plaquette"

$$\square = 1 + ia^2 F_{\mu\nu}^a T^a + \mathcal{O}(a^4)$$


→ Lattice QCD, lattice field theory

## 5.4. Quantization and gauge fixing

We can now define the path integral

$$Z = \int \mathcal{D}A_\mu \mathcal{D}\psi \mathcal{D}\bar{\psi} e^{iS} \quad (5.26)$$

which defines the quantum theory. This is fully gauge invariant. (This is done in numerical simulations)

However, the gauge invariance causes problems for analytical treatment of path integrals.

For example, we cannot even define the Feynman propagator for  $A_\mu$ .

Or, consider U(1) Lagrange density:

$$\mathcal{L} = -\frac{1}{4} F^{\mu\nu} F_{\mu\nu}$$

$A_\mu$ : canonical fields?

$$\pi_\mu = \frac{\partial \mathcal{L}}{\partial(\partial_0 A_\mu)} = -F^{0\mu} = F_{0\mu} = E_\mu, \quad \mu \neq 0 \quad (5.27)$$

what is canonical momentum for  $A_0$ ?

Does not exist. Or rather, set  $A_\mu, \mu=0..3$  contains too many d.o.f.'s. Because of the gauge symmetry, we can set one of them to any value we choose.

⇒ Gauge fixing: fix the gauge using some condition.