

1. Verify that the dimension of length is in accordance with the given field of 1 Tesla in the experimental vortex lattice picture given in the lecture notes.

Solution:

This concerns the figure on p. 28 of the 2015 lecture notes. The number of vortex lines is 42. So the total flux is $\Phi = 42\Phi_0 = 42(h/2e) = 8.7 \cdot 10^{-14}$ Wb. The area is roughly $A = 300 \times 300 \text{ nm}^2 = 9 \cdot 10^{-14} \text{ m}^2$, so the expected flux at $B = 1 \text{ T}$ is $\Phi = BA = 9 \cdot 10^{-14}$ Wb. Seems to be right.

2. Show that the density of the single-particle energies $E_k = \sqrt{\xi_k^2 + \Delta^2}$ (i.e. density of states) in a superconductor is 0 for $0 < E < \Delta$ and

$$N_s(E) = \frac{N_n(0)E}{\sqrt{E^2 - \Delta^2}}$$

for $E > \Delta$, where $N_n(0)$ is the corresponding normal-state ($\Delta = 0$) density of states.

Solution:

In the following we adopt a “particle picture” rather than “excitation picture”, so that the energy dispersion is

$$E_k = \begin{cases} \sqrt{\xi_k^2 + \Delta^2}, & \xi_k > 0 \\ -\sqrt{\xi_k^2 + \Delta^2}, & \xi_k < 0 \end{cases}$$

where $\xi_k = \epsilon_k - \mu$. Thus the “hole” states, defined here by $\xi_k < 0$, now appear at negative energies. (This is done just to make ξ_k uniquely defined for given E_k , which makes the discussion a bit cleaner — see below. If it bothers you, consider only the case $\xi_k > 0$.) In the normal state ($\Delta = 0$) this dispersion reduces to $E_k = \xi_k$. Since the density of the states on the “ ξ axis” is therefore the same for both normal and superconducting cases, no states are “lost” in the superconducting transition. They just get redistributed on the “ E axis”.

Therefore the normal-state DOS $N_n(\xi)$ and the superconducting-state DOS $N_s(E)$ should satisfy (for $E > \Delta$, say) “ $N_s(E_s)dE_s = N_n(E_n)dE_n$ ”, that is

$$N_s(E)dE = N_n(\xi)d\xi$$

where $E = \sqrt{\xi^2 + \Delta^2}$ or $\xi = \sqrt{E^2 - \Delta^2}$. Thus by differentiation we find $d\xi = E dE / \sqrt{E^2 - \Delta^2}$ and so

$$N_s(E) = N_n(0) \frac{E}{\sqrt{E^2 - \Delta^2}}, \quad E > \Delta$$

where we additionally assumed that $N_n(\xi) \approx N_n(0)$. For $0 < E < \Delta$ we obviously have $N_s(E) = 0$. For $E < 0$ similar arguments can be given, so that in general

$$N_s(E) = N_n(0) \frac{|E|}{\sqrt{E^2 - \Delta^2}}, \quad |E| > \Delta$$

and $N_s(E) = 0$ for $|E| < \Delta$. In the ‘‘excitation picture’’ also the ‘‘hole’’ states would be counted at positive energies, and then you have to think of including a factor 2 in $N_n(0)$ in the above result and only consider $E > 0$. So then $N_n(0) = 2N(0)$, where $N(0)$ is our usual notation for the single-spin DOS around Fermi energy.

A more technical way is roughly as follows. The energy density of states per unit volume is defined as

$$N_s(E) = \frac{1}{L^3} \sum_{\mathbf{k}} \delta(E - E_{\mathbf{k}})$$

where $E_{\mathbf{k}} = \text{sign}(\xi_{\mathbf{k}}) \sqrt{\xi_{\mathbf{k}}^2 + \Delta^2}$. Since $|E_{\mathbf{k}}|$ can only have values $> \Delta$, it is clear that $N_s(E) = 0$ if $|E| < \Delta$. So below we assume $|E| > \Delta$. Here we need a delta function formula. In general, for a function $g(x)$ with zeros at $x = x_i$ (that is $g(x_i) = 0$) we have

$$\delta[g(x)] = \sum_i \frac{1}{|g'(x_i)|} \delta(x - x_i)$$

Applying this to our case, we define

$$g(\xi_{\mathbf{k}}) = E - \text{sign}(\xi_{\mathbf{k}}) \sqrt{\xi_{\mathbf{k}}^2 + \Delta^2}$$

This has zeros at $\xi_{\mathbf{k}} = \xi_{\mathbf{k}}^{(0)}$ with $\xi_{\mathbf{k}}^{(0)} = \text{sign}(E) \sqrt{E^2 - \Delta^2}$. Now

$$g'(\xi_{\mathbf{k}}) = \frac{|\xi_{\mathbf{k}}|}{\sqrt{\xi_{\mathbf{k}}^2 + \Delta^2}}$$

and

$$g'(\xi_{\mathbf{k}}^{(0)}) = \frac{\sqrt{E^2 - \Delta^2}}{|E|}$$

Thus

$$N_s(E) = \frac{1}{L^3} \sum_{\mathbf{k}} \frac{|E|}{\sqrt{E^2 - \Delta^2}} \delta(\xi_{\mathbf{k}} - \xi_{\mathbf{k}}^{(0)})$$

Then we use the usual substitution of the sum by an integral, assuming the normal-state density of states to be constant

$$N_s(E) = N_n(0) \int d\xi_{\mathbf{k}} \frac{|E|}{\sqrt{E^2 - \Delta^2}} \delta(\xi_{\mathbf{k}} - \text{sign}(E) \sqrt{E^2 - \Delta^2})$$

The integral over the delta function gives the result 1 and so

$$N_s(E) = N_n(0) \frac{|E|}{\sqrt{E^2 - \Delta^2}}, \quad |E| > \Delta$$

For any energy E we may write

$$N_s(E) = N_n(0) \frac{|E|}{\sqrt{E^2 - \Delta^2}} \theta(|E| - \Delta)$$

where $\theta(x)$ is the Heaviside step function.

3. Show, as instructed in the lecture notes, that if the Josephson coupling energy is $F_J(\Delta\phi) = -E_J \cos \Delta\phi$, then the Josephson current is $J = \frac{|q|}{h} E_J \sin \Delta\phi$. Thus consider for simplicity a quasi-one-dimensional model, with a first superconductor at $-L < x < 0$ connected to a second one at $0 < x < L$ via a tunnel barrier at $x = 0$. The energy is of the form $F = S \int_{-L}^0 dx f(x) + S \int_0^L dx f(x) + F_J$, where S is a cross-sectional area, $f(x)$ is the GL energy density at zero magnetic field, with $\psi(x) = \psi_0 e^{i\phi(x)}$, where ψ_0 is a real constant, and $\Delta\phi = \phi(0^-) - \phi(0^+)$. By considering variations $\phi(x) \rightarrow \phi(x) + \delta\phi(x)$ where $\delta\phi(\pm L) = 0$, show that the equilibrium conditions arising from the surface terms of $\delta F = 0$ at $x = 0^\pm$ imply that the current $J = Sj(0^-) = Sj(0^+)$ satisfies $J = \frac{|q|}{h} \partial F_J(\Delta\phi) / \partial \Delta\phi$.

Solution:

Denote the phase fields at $x < 0$ and $x > 0$ by ϕ_1 and ϕ_2 , respectively. Since the amplitudes $|\psi| = \psi_0$ of the order parameters are just constants, GL free energy is then of the form

$$F[\phi_1, \phi_2] = S \int_{-L}^0 dx [f + \hbar^2 \gamma |\psi|^2 (\phi_1')^2] + S \int_0^L dx [f + \hbar^2 \gamma |\psi|^2 (\phi_2')^2] + F_J[\phi_1(0^-) - \phi_2(0^+)]$$

where $f = \alpha |\psi|^2 + \frac{\beta}{2} |\psi|^4$ is an unimportant constant energy density and S is the cross-sectional area. By differentiation

$$\begin{aligned} \delta F = & S \int_{-L}^0 dx [2\hbar^2 \gamma |\psi|^2 \phi_1' (\delta\phi_1)'] + S \int_0^L dx [2\hbar^2 \gamma |\psi|^2 \phi_2' (\delta\phi_2)'] \\ & + F_J'[\phi_1(0^-) - \phi_2(0^+)] [\delta\phi_1(0^-) - \delta\phi_2(0^+)] \end{aligned}$$

and by integration by parts

$$\begin{aligned}
\delta F &= S \Big|_{-L}^0 (2\hbar^2 \gamma |\psi|^2 \phi_1') \delta \phi_1 - S \int_{-L}^0 dx (2\hbar^2 \gamma |\psi|^2 \phi_1'') \delta \phi_1 \\
&+ S \Big|_0^L (2\hbar^2 \gamma |\psi|^2 \phi_2') \delta \phi_2 - S \int_0^L dx (2\hbar^2 \gamma |\psi|^2 \phi_2'') \delta \phi_2 \\
&+ F_J'[\phi_1(0^-) - \phi_2(0^+)] [\delta \phi_1(0^-) - \delta \phi_2(0^+)] \\
&= -S 2\hbar^2 \gamma |\psi|^2 \left\{ \int_{-L}^0 dx \phi_1'' \delta \phi_1 + \int_0^L dx \phi_2'' \delta \phi_2 \right\} \\
&+ \delta \phi_1(0^-) \{ S 2\hbar^2 \gamma |\psi|^2 \phi_1'(0^-) + F_J'[\phi_1(0^-) - \phi_2(0^+)] \} \\
&- \delta \phi_1(-L) \{ S 2\hbar^2 \gamma |\psi|^2 \phi_1'(-L) \} \\
&- \delta \phi_2(0^+) \{ S 2\hbar^2 \gamma |\psi|^2 \phi_2'(0^+) + F_J'[\phi_1(0^-) - \phi_2(0^+)] \} \\
&+ \delta \phi_2(L) \{ S 2\hbar^2 \gamma |\psi|^2 \phi_2'(L) \}.
\end{aligned}$$

In equilibrium $\delta F = 0$. As usual, we fix $\delta \phi_1(-L) = 0$ and $\delta \phi_2(L) = 0$. Since the variation is arbitrary, the integrands must vanish. Thus $\phi_1'' = 0 = \phi_2''$. The variations at the origin are also arbitrary, and thus

$$\begin{aligned}
S 2\hbar^2 \gamma |\psi|^2 \phi_1'(0^-) + F_J'[\phi_1(0^-) - \phi_2(0^+)] &= 0 \\
S 2\hbar^2 \gamma |\psi|^2 \phi_2'(0^+) + F_J'[\phi_1(0^-) - \phi_2(0^+)] &= 0
\end{aligned}$$

Using the definition of the current density $j = 2q\hbar\gamma|\psi|^2\phi'$, these can be written as

$$Sj(0^-) = Sj(0^+) = -\frac{q}{\hbar} F_J'[\phi_1(0^-) - \phi_2(0^+)]$$

Using $F_J(\Delta\phi) = -E_J \cos \Delta\phi$, this becomes

$$Sj(0^-) = Sj(0^+) = -\frac{q}{\hbar} E_J \sin[\phi_1(0^-) - \phi_2(0^+)]$$

Defining $J = Sj(0^-) = Sj(0^+)$, $I_c = |q|E_J/\hbar$, and $\Delta\phi = \phi_1(0^-) - \phi_2(0^+)$, this is the desired result:

$$J = \frac{|q|}{\hbar} F_J'(\Delta\phi) = I_c \sin(\Delta\phi)$$

4. DC SQUID

Starting from the equations in the lectures

$$\begin{aligned}
\Delta\phi_1 + \Delta\phi_2 &= \frac{2\pi\Phi}{\Phi_0} + 2\pi N \\
J &= J_{c1} \sin(\Delta\phi_1) - J_{c2} \sin(\Delta\phi_2),
\end{aligned} \tag{1}$$

show that for $J_{c1} = J_{c2} = J_c$ the current can be written

$$J = 2J_c(-1)^N \cos \frac{\pi\Phi}{\Phi_0} \sin \frac{\Delta\phi_1 - \Delta\phi_2}{2}. \quad (2)$$

Solution:

Since $J_{c1} = J_{c2} = J_c$, we have

$$J = J_c [\sin(\Delta\phi_1) - \sin(\Delta\phi_2)]. \quad (3)$$

Using the trigonometric identity

$$\sin x - \sin y = 2 \sin \left(\frac{x - y}{2} \right) \cos \left(\frac{x + y}{2} \right) \quad (4)$$

we have

$$J = 2J_c \sin \left(\frac{\Delta\phi_1 - \Delta\phi_2}{2} \right) \cos \left(\frac{\Delta\phi_1 + \Delta\phi_2}{2} \right) \quad (5)$$

Because

$$\Delta\phi_1 + \Delta\phi_2 = \frac{2\pi\Phi}{\Phi_0} + 2\pi N, \quad (6)$$

we obtain

$$J = 2J_c \sin \left(\frac{\Delta\phi_1 - \Delta\phi_2}{2} \right) \cos \left(\frac{\pi\Phi}{\Phi_0} + \pi N \right). \quad (7)$$

Since

$$\cos(x + \pi N) = \cos(x) \cos(\pi N) - \sin(x) \sin(\pi N) = (-1)^N \cos(x), \quad (8)$$

we finally have

$$J = 2J_c (-1)^N \cos \left(\frac{\pi\Phi}{\Phi_0} \right) \sin \left(\frac{\Delta\phi_1 - \Delta\phi_2}{2} \right). \quad (9)$$